

03/20/01

11033 U.S. PTO  
09/811509

03/20/01

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	9958-002-27 CONT
	First Inventor or Application Identifier	JOHN SHANNON
	Title	METHODS AND ORGANISMS FOR CONCENTRATING AND RECOVERING METALS AND MINERALS FROM AQUEOUS MEDIA

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status.</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages <b>11</b></p> <p>3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <b>  </b></p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <b>3</b></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)</p> <p>5. <input checked="" type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<b>ACCOMPANYING DOCUMENTS</b> <p>6. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>8. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>10. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>12. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>13. <input type="checkbox"/> Request for Priority</p> <p>14. <input type="checkbox"/> List of Inventors' Names and Addresses</p> <p>15. <input type="checkbox"/> Other:</p>
<p>16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <p><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: 09/167,481, filed October 8, 1998 (pending)(CPA filed July 14, 2000)</p> <p>Prior application information: Examiner: CHEN, S. Group Art Unit: 1633</p>	
<p>17. Amend the specification by inserting before the first line the sentence:</p> <p><input checked="" type="checkbox"/> This application is a <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. 09/167,481 Filed on October 8, 1998 (pending) (CPA filed July 14, 2000)</p> <p><input type="checkbox"/> This application claims priority of provisional application Serial No. Filed</p>	
<b>18. CORRESPONDENCE ADDRESS</b> Supervisor, Patent Prosecution Services PIPER MARBURY RUDNICK & WOLFE LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085	

Name	Steven B. Kelber	Registration No.	30,073
Signature	<i>Laura D. Nammo</i>	Date	3-20-2001
Name	Laura D. Nammo	Registration No.	42,024
		Telephone	202-861-3900

# FEE TRANSMITTAL

Docket No. 9958-002-27 CONT  
 Serial No. NEW CONTINUATION APPLICATION  
 Filing Date HEREWITH  
 Inventor(s) JOHN SHANNON, ET AL.  
 Group Art Unit UNASSIGNED  
 Examiner UNASSIGNED

TOTAL AMOUNT OF PAYMENT \$515.00

1. ☒ Applicant claims small entity status.
- ☒ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

2. ☒ Check enclosed.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description				139	130	139	130	Non-English specification			
Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid	147	2520	147	2520	Ex parte reexam. fee		
101	710	201	355	Utility filing fee				355.00	115	110	215	55	1-mo. ext. of time		
106	320	206	160	Design filing fee					116	390	216	195	2-mo. ext. of time		
107	490	207	245	Plant filing fee					117	890	217	445	3-mo. ext. of time		
108	710	208	355	Reissue filing fee					118	1390	218	695	4-mo. ext. of time		
114	150	214	75	Provisional filing fee					128	1890	228	945	5-mo. ext. of time		
SUBTOTAL (1)							\$355.00	119	310	219	155	Notice of Appeal			
2. EXTRA CLAIM FEES								120	310	220	155	Appeal Brief			
tot. claims		10	-	20*	=	0	x	\$9	=	0	121	270	221	135	Request for Oral Hearing
ind. claims		7	-	3*	=	4	x	\$40	=	160	142	1240	242	620	Utility/Reissue Issue Fee
<input type="checkbox"/>		Multiple Dependent Claims					\$135	=		143	440	243	220	Design Issue Fee	
Large Entity		Small Entity		Fee Description				144	600	244	300	Plant Issue Fee			
Fee Code	Fee (\$)	Fee Code	Fee (\$)					122	130	122	130	Petitions to the Commissioner			
103	180	203	9	Claims in excess of 20				126	180	126	180	IDS Submission			
102	80	202	40	Independent claims in excess of 3				581	40	581	40	Assignment			
104	270	204	135	Multiple dependent claim, if not paid				179	710	279	355	For Filing RCE			
109	80	209	40	*Reissue independent claims over original patent				169	900	169	900	Expedited Design			
110	18	210	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):							
SUBTOTAL (2)							\$160.00								
* or number previously paid, if greater; For Reissues, see above								SUBTOTAL (3)						\$0.00	

Name	Steven B. Kelber	Registration No.	30,073
Signature	Laura D. Nammo	Date	3-20-2001
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